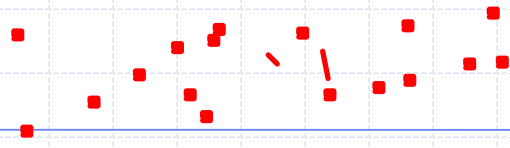
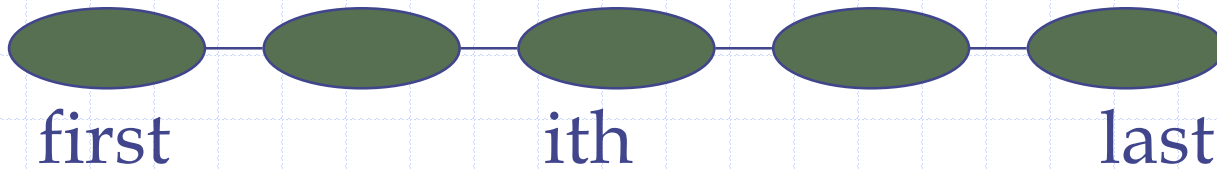


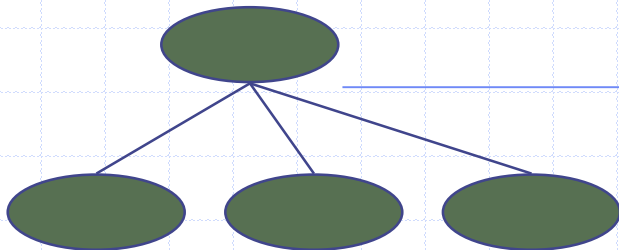
# Graphs



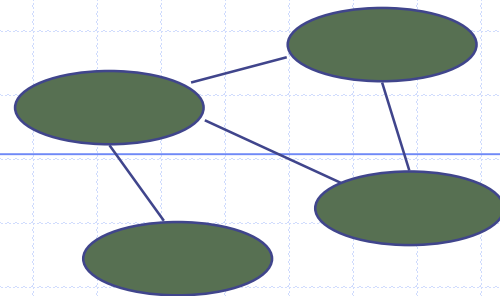
sequence/linear (1 to 1)



hierarchical  
(1 to many)

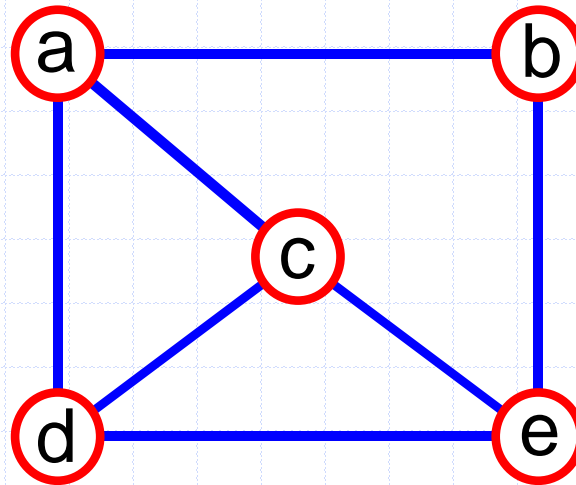


graph (many to many)



# What is a Graph?

- ◆ A graph is a pair  $(V, E)$ , where
  - $V$  is a set of nodes, called **vertices**
  - $E$  is a collection of pairs of vertices, called **edges**
- ◆  $V(G)$  and  $E(G)$  represent the sets of vertices and edges of  $G$ , respectively
- ◆ Example:



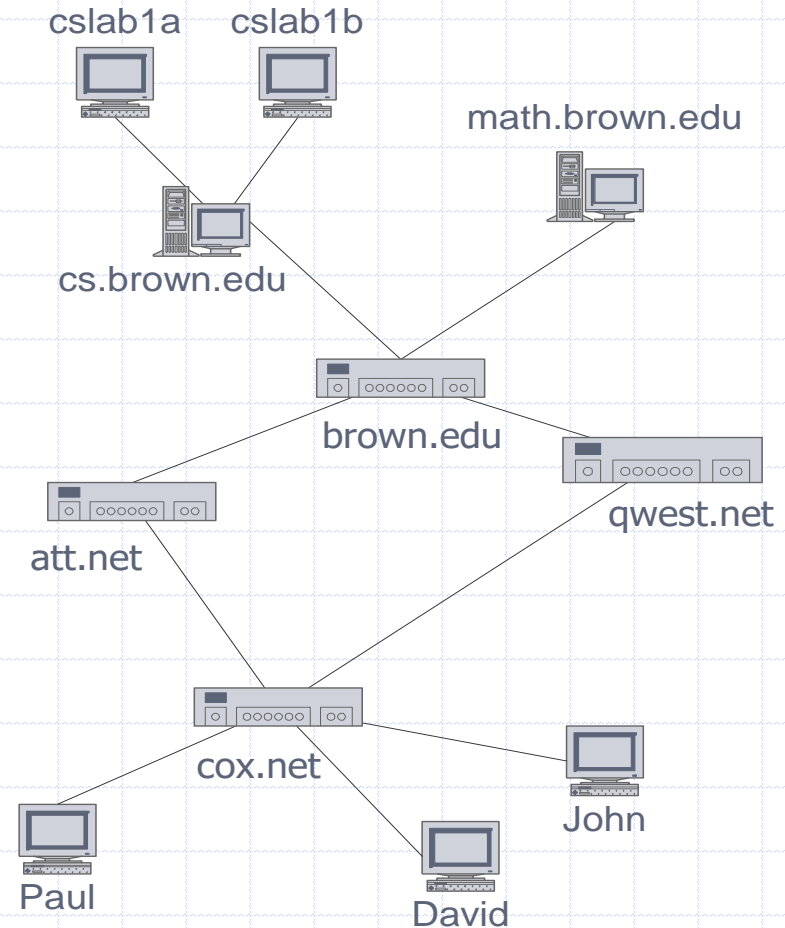
$$V = \{a, b, c, d, e\}$$

$$E = \{(a,b), (a,c), (a,d), (b,e), (c,d), (c,e), (d,e)\}$$

- ◆ **A tree is a special type of graph!**

# Applications

- ◆ Electronic circuits
  - Printed circuit board
  - Integrated circuit
- ◆ Transportation networks
  - Highway network
  - Flight network
- ◆ Computer networks
  - Local area network
  - Internet
  - Web
- ◆ Databases
  - Entity-relationship diagram

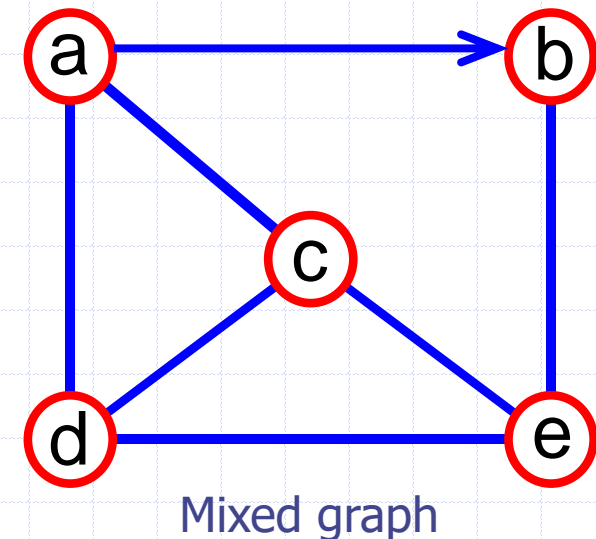


# What can we do with graphs?

- ◆ Find a *path* from one place to another
- ◆ Find the *shortest path* from one place to another
- ◆ Determine connectivity
- ◆ Find the “weakest link” (min cut)
  - check amount of redundancy in case of failures
- ◆ Find the amount of flow that will go through them

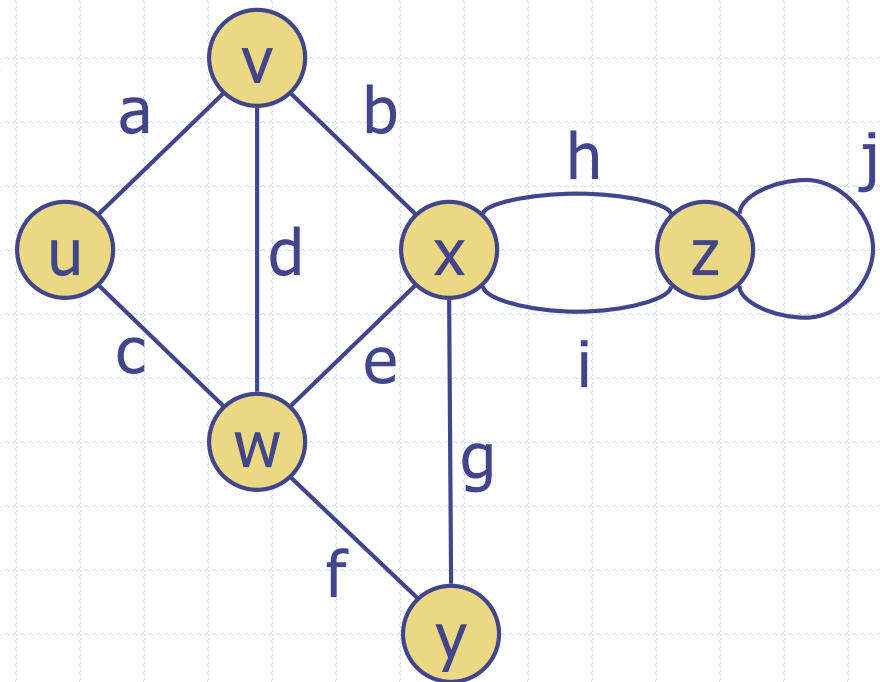
# Edge and Graph Types

- ◆ Directed edge
  - ordered pair of vertices  $(u,v)$
  - first vertex  $u$  is the origin
  - second vertex  $v$  is the destination
- ◆ Undirected edge
  - unordered pair of vertices  $(u,v)$
- ◆ Directed graph (Digraph)
  - all the edges are directed
  - e.g., route network
- ◆ Undirected graph
  - all the edges are undirected
  - e.g., flight network
- ◆ Mixed graph
  - some edges are undirected and some edges are directed
  - e.g., a graph modeling a city map



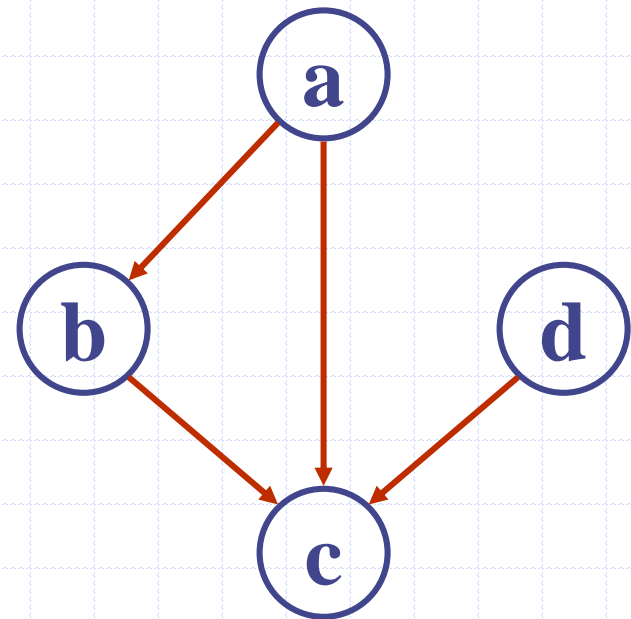
# Terminology

- ◆ End vertices (or endpoints) of an edge
  - $u$  and  $v$  are the *endpoints* of  $a$
- ◆ Edges incident to a vertex
  - $a$ ,  $d$ , and  $b$  are *incident* to  $v$
- ◆ Adjacent vertices
  - $u$  and  $v$  are *adjacent*
- ◆ Degree of a vertex
  - $x$  has *degree* 5
- ◆ Parallel edges
  - $h$  and  $i$  are *parallel edges*
- ◆ Self-loop
  - $j$  is a *self-loop*



# Terminology (cont.)

- ◆ **Outgoing edges of a vertex**
  - $(a, b)$  and  $(a, c)$  are outgoing edges of vertex  $a$
- ◆ **Incoming edges of a vertex**
  - $(b, c)$ ,  $(d, c)$  and  $(a, c)$  are incoming edges of vertex  $c$
- ◆ **In-degree of a vertex**
  - $c$  has *in-degree* 3
  - $b$  has *in-degree* 1
- ◆ **Out-degree of a vertex**
  - $a$  has *out-degree* 2
  - $b$  has *out-degree* 1



# Terminology (cont.)

## ◆ Path

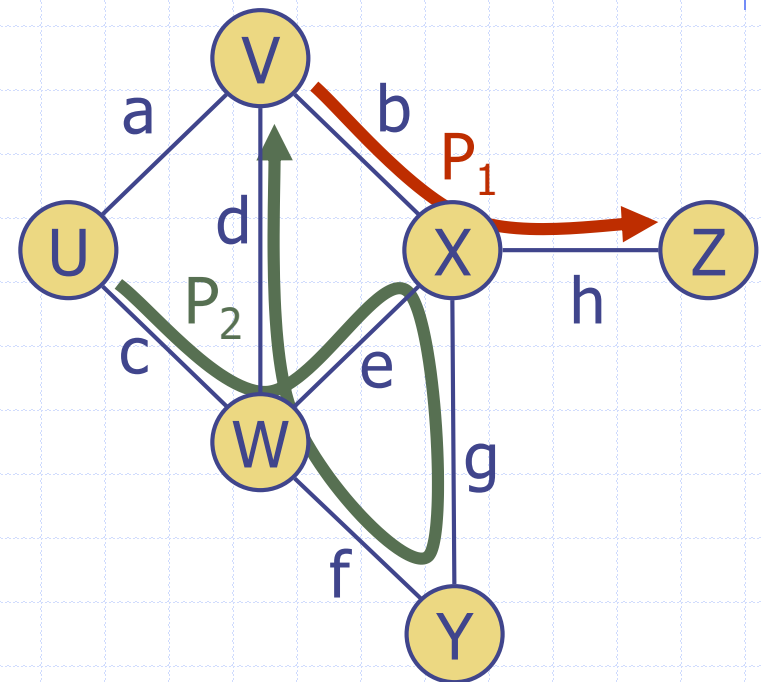
- sequence of alternating vertices and edges
- begins with a vertex
- ends with a vertex
- each edge is preceded and followed by its endpoints

## ◆ Simple path

- path such that all its vertices and edges are distinct

## ◆ Examples

- $P_1 = (V, b, X, h, Z)$  is a simple path
- $P_2 = (U, c, W, e, X, g, Y, f, W, d, V)$  is a path that is not simple



# Terminology (cont.)

## ◆ Cycle

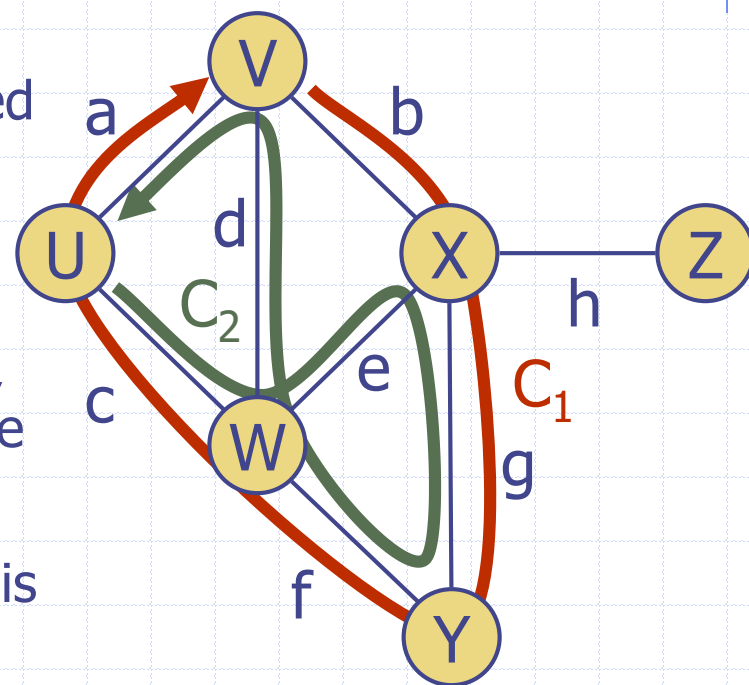
- A cycle is a path whose start and end vertices are the same
- each edge is preceded and followed by its endpoints

## ◆ Simple cycle

- A cycle is simple if each edge is distinct and each vertex is distinct, except for the first and the last one

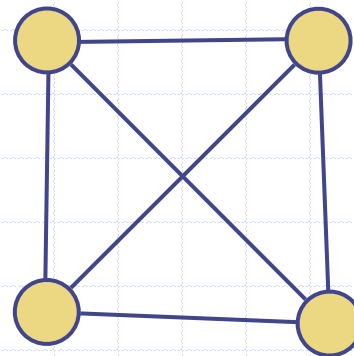
## ◆ Examples

- $C_1 = (V, b, X, g, Y, f, W, c, U, a, V)$  is a simple cycle
- $C_2 = (U, c, W, e, X, g, Y, f, W, d, V, a, U)$  is a cycle that is not simple



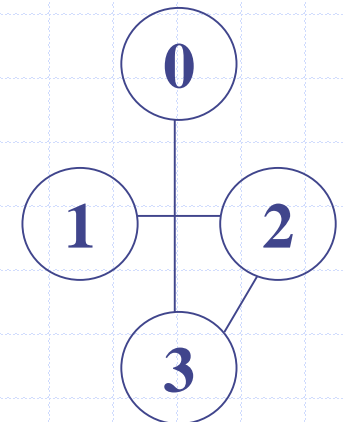
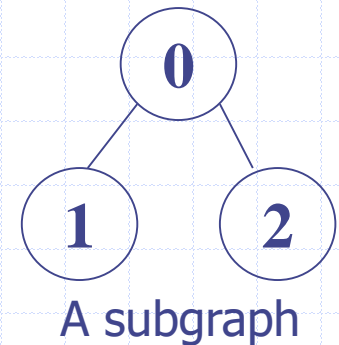
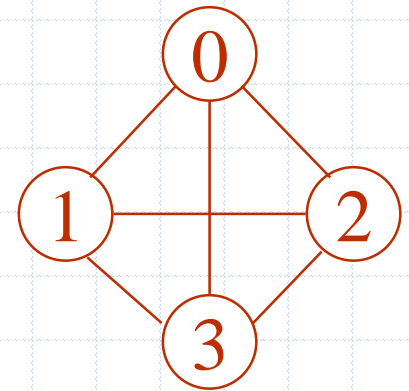
# Terminology (cont.)

- ◆ *Dense* graph:  $|E| \approx |V|^2$ ; *Sparse* graph:  $|E| \approx |V|$
- ◆ A *weighted graph* associates weights with either the edges or the vertices
- ◆ A *complete graph* is a graph that has the maximum number of edges
  - for *undirected graph* with  $n$  vertices, the maximum number of edges is  $n(n-1)/2$
  - for *directed graph* with  $n$  vertices, the maximum number of edges is  $n(n-1)$



# Terminology (cont.)

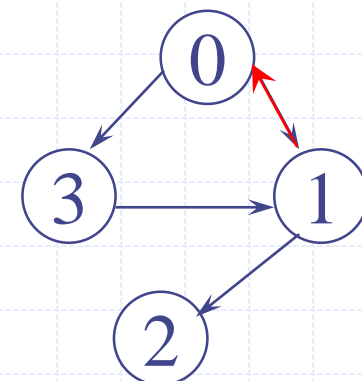
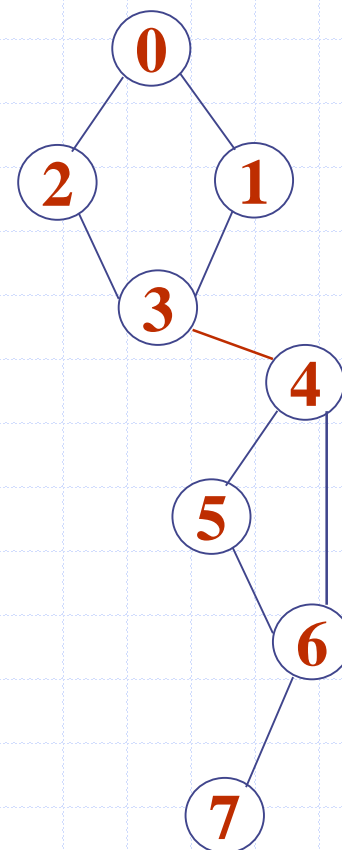
- ◆ A **subgraph** of  $G$  is a graph  $G'$  such that
  - $V(G')$  is a subset of  $V(G)$  [ $V(G') \subseteq V(G)$ ] and
  - $E(G')$  is a subset of  $E(G)$  [ $E(G') \subseteq E(G)$ ]
- ◆ A **spanning subgraph**  $G'$  of  $G$  is a subgraph of  $G$  that contains all the vertices of  $G$ , that is
  - $V(G')$  is equal to  $V(G)$  [ $V(G') = V(G)$ ] and
  - $E(G')$  is a subset of  $E(G)$  [ $E(G') \subseteq E(G)$ ]
- ◆ A **forest** is a graph without cycles.
- ◆ A **(free) tree** is a connected forest, that is, a connected graph without cycles.
- ◆ A **spanning tree** of a graph  $G$  is a spanning subgraph that is a (free) tree.



A spanning subgraph (tree)

# Terminology (cont.)

- ◆ In a graph  $G$ , two vertices,  $v_0$  and  $v_1$ , are **connected** if there is a path in  $G$  from  $v_0$  to  $v_1$
- ◆ A graph is **connected** if, for every pair of distinct vertices  $v_i$  and  $v_j$ , there is a path from  $v_i$  to  $v_j$
- ◆ A **connected component** of an undirected graph is a maximal connected subgraph.
- ◆ A **tree** is a graph that is connected and acyclic.
- ◆ A directed graph is **strongly connected** if there is a directed path from  $v_i$  to  $v_j$  and also from  $v_j$  to  $v_i$ .
- ◆ A **strongly connected component** is a maximal subgraph that is strongly connected.

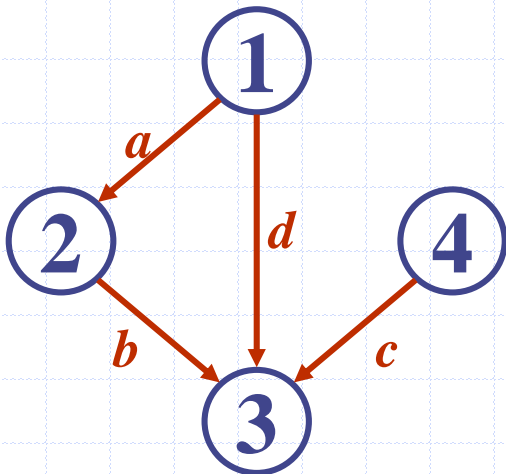


# Graph Representation

- ◆ For graphs to be computationally useful, they have to be conveniently represented in programs
- ◆ There are two computer representations of graphs:
  - Adjacency matrix representation
  - Adjacency lists representation

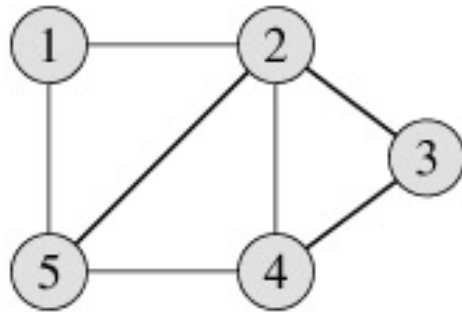
# Adjacency Matrix Representation

- ◆ Assume  $V = \{1, 2, \dots, n\}$
- ◆ An *adjacency matrix* represents the graph as a  $n \times n$  matrix  $A$ :
  - $A[i, j] = 1$  if edge  $(i, j) \in E$  (or weight of edge)  
= 0 if edge  $(i, j) \notin E$



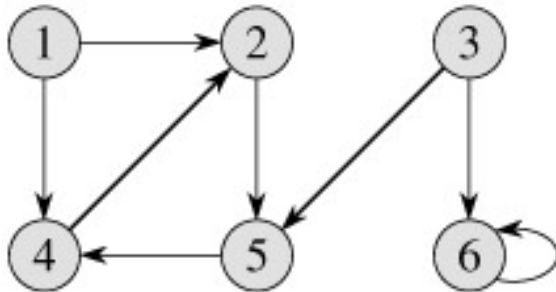
A	1	2	3	4
1	0	1	1	0
2	0	0	1	0
3	0	0	0	0
4	0	0	1	0

# Adjacency Matrix Representation



Undirected Graph

	1	2	3	4	5
1	0	1	0	0	1
2	1	0	1	1	1
3	0	1	0	1	0
4	0	1	1	0	1
5	1	1	0	1	0



Directed Graph

	1	2	3	4	5	6
1	0	1	0	1	0	0
2	0	0	0	0	1	0
3	0	0	0	0	1	1
4	0	1	0	0	0	0
5	0	0	0	1	0	0
6	0	0	0	0	0	1

The adjacency matrix for an undirected graph is symmetric; the adjacency matrix for a digraph need not be symmetric

# Adjacency Matrix Representation

## ◆ Pros:

- Simple to implement
- Easy and fast to tell if a pair  $(i, j)$  is an edge: simply check if  $A[i, j]$  is 1 or 0
- Can be very efficient for small graphs

## ◆ Cons:

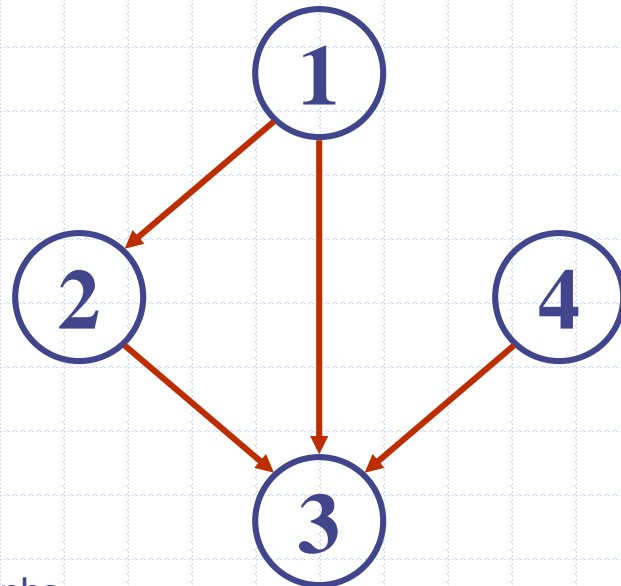
- No matter how few edges the graph has, the matrix takes  $O(n^2)$  in memory

# Adjacency Lists Representation

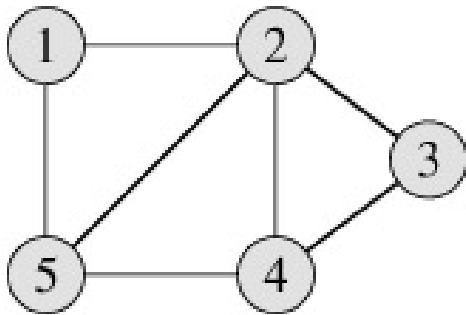
- ◆ A graph is represented by a one-dimensional array  $L$  of linked lists, where
  - $L[i]$  is the linked list containing all the nodes adjacent to node  $i$ .
  - The nodes in the list  $L[i]$  are in no particular order

- ◆ Example:

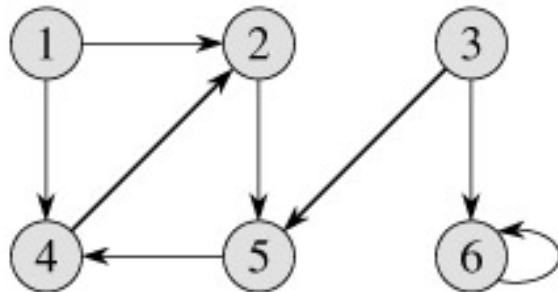
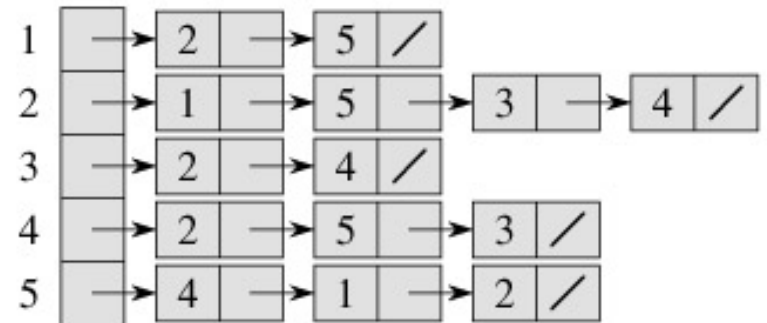
- $\text{Adj}[1] = \{2,3\}$
- $\text{Adj}[2] = \{3\}$
- $\text{Adj}[3] = \{\}$
- $\text{Adj}[4] = \{3\}$



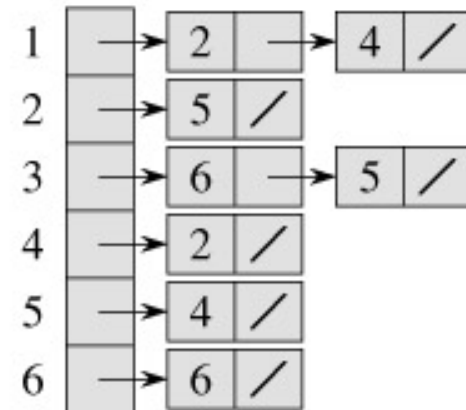
# Adjacency Lists Representation



Undirected Graph



Directed Graph



# Adjacency Lists Representation

## ◆ Pros:

- Saves on space (memory): the representation takes  $O(|V| + |E|)$  memory.
- Good for large, sparse graphs (e.g., planar maps)

## ◆ Cons:

- It can take up to  $O(n)$  time to determine if a pair of nodes  $(i, j)$  is an edge: one would have to search the linked list  $L[i]$ , which takes time proportional to the length of  $L[i]$ .

# Asymptotic Performance

Assumptions: (1)  $n$  vertices,  $m$  edges, (2) simple graph

Operations	Adjacency List	Adjacency Matrix
Space	$O(n + m)$	$O(n^2)$
incidentEdges( $v$ )	$O(\text{deg}(v))$	$O(n)$
areAdjacent ( $v, w$ )	$O(\min(\text{deg}(v), \text{deg}(w)))$	$O(1)$
insertVertex( $o$ )	$O(1)$	$O(n^2)$
insertEdge( $v, w, o$ )	$O(1)$	$O(1)$
removeVertex( $v$ )	$O(\text{deg}(v))$	$O(n^2)$
removeEdge( $e$ )	$O(1)$	$O(1)$

# Graph Searching

- Given: a graph  $G = (V, E)$ , directed or undirected
- Goal: methodically explore every vertex and every edge
- Ultimately: build a tree on the graph
  - Pick a vertex as the root
  - Choose certain edges to produce a tree
  - Note: might also build a *forest* if graph is not connected
- There are two standard graph traversal techniques:
  - Breadth-First Search (BFS)
  - Depth-First Search (DFS)

# Breadth-First Search

- ❓ “Explore” a graph, turning it into a tree
  - ❓ One vertex at a time
  - ❓ Expand frontier of explored vertices across the *breadth* of the frontier
- ❓ Builds a tree over the graph
  - ❓ Pick a *source vertex* to be the root
  - ❓ Find (“discover”) its children, then their children, etc.

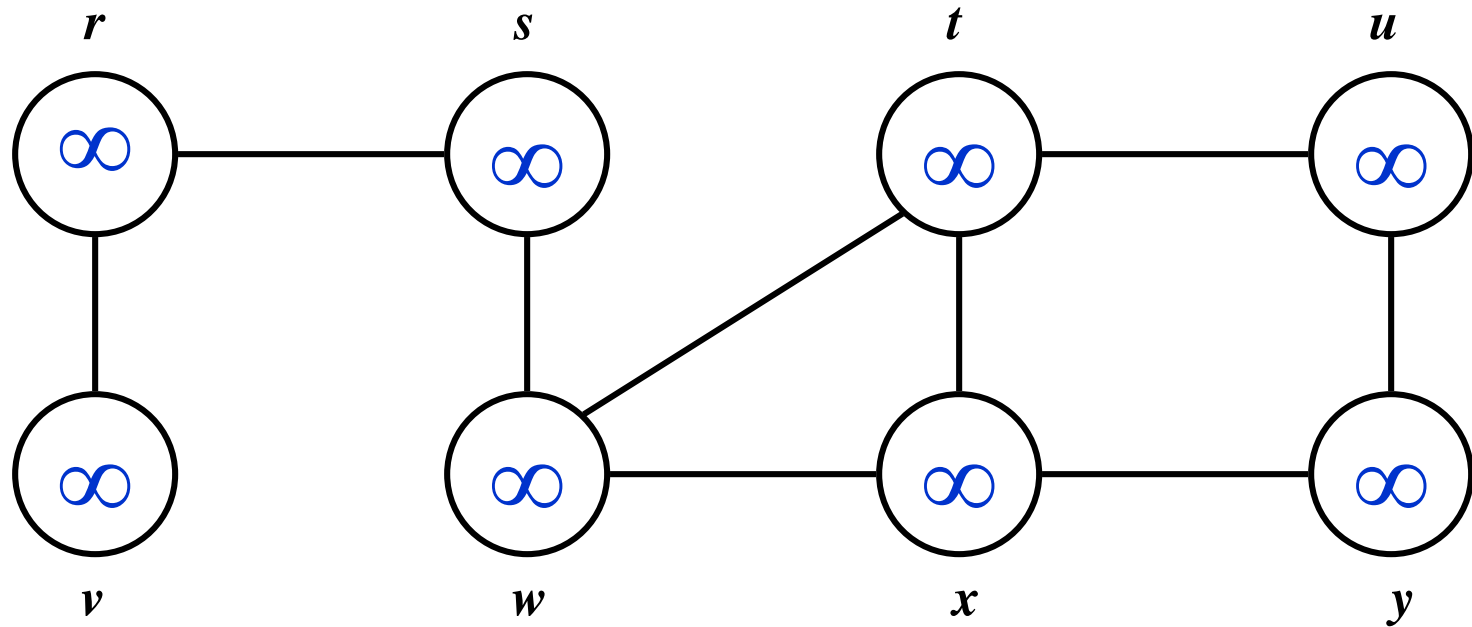
# Breadth-First Search

- Again will associate vertex “colors” to guide the algorithm
  - **White vertices** have not been discovered
    - All vertices start out white
  - **Grey vertices** are discovered but not fully explored
    - They may be adjacent to white vertices
  - **Black vertices** are discovered and fully explored
    - They are adjacent only to black and gray vertices
- Explore vertices by scanning **adjacency list** of grey vertices

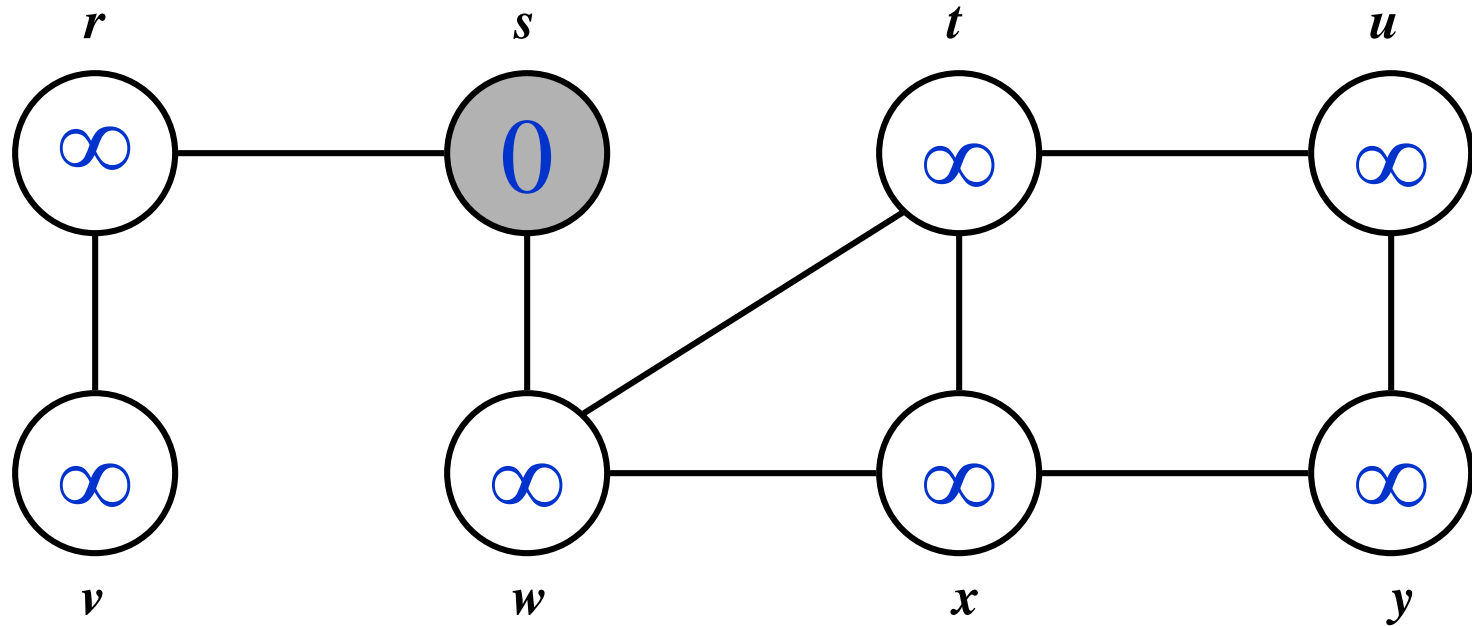
BFS( $G, s$ )

```
1  for each vertex  $u \in V[G] - \{s\}$ 
2      do  $color[u] \leftarrow \text{WHITE}$ 
3           $d[u] \leftarrow \infty$ 
4           $\pi[u] \leftarrow \text{NIL}$ 
5   $color[s] \leftarrow \text{GRAY}$ 
6   $d[s] \leftarrow 0$ 
7   $\pi[s] \leftarrow \text{NIL}$ 
8   $Q \leftarrow \emptyset$ 
9  ENQUEUE( $Q, s$ )
10 while  $Q \neq \emptyset$ 
11     do  $u \leftarrow \text{DEQUEUE}(Q)$ 
12         for each  $v \in Adj[u]$ 
13             do if  $color[v] = \text{WHITE}$ 
14                 then  $color[v] \leftarrow \text{GRAY}$ 
15                      $d[v] \leftarrow d[u] + 1$ 
16                      $\pi[v] \leftarrow u$ 
17                     ENQUEUE( $Q, v$ )
18      $color[u] \leftarrow \text{BLACK}$ 
```

# Breadth-First Search: Example

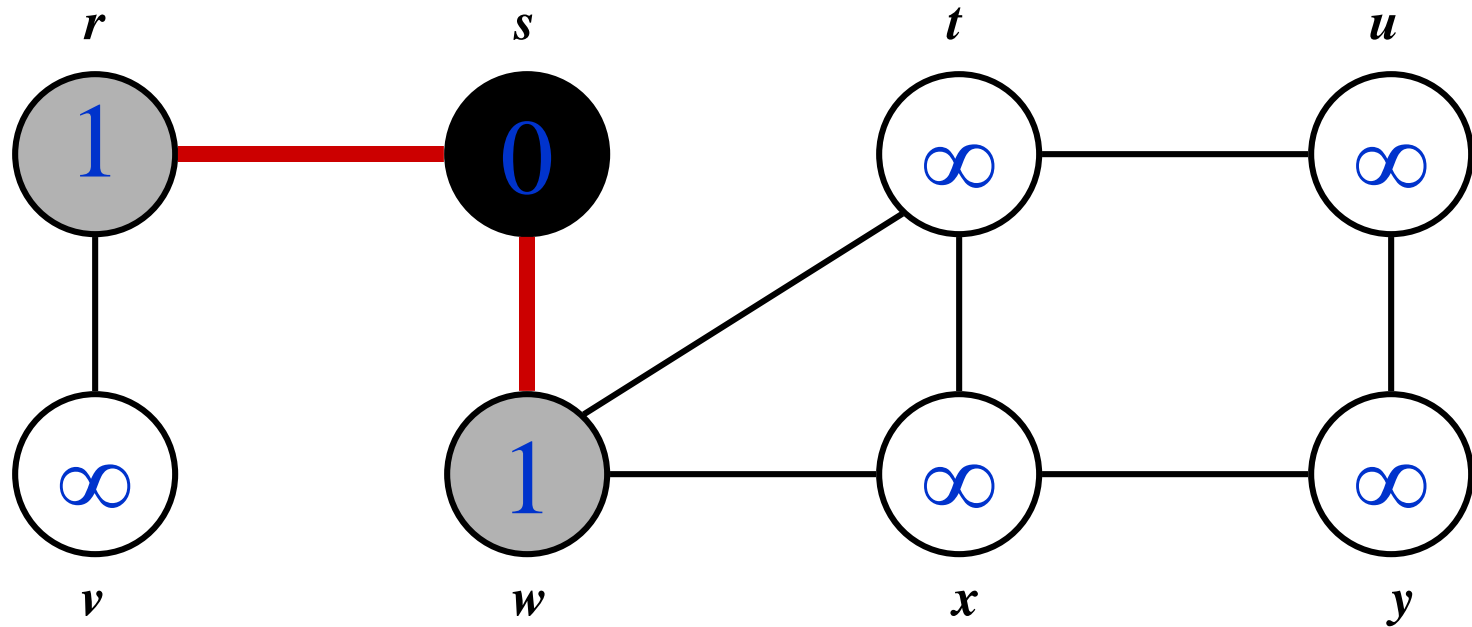


# Breadth-First Search: Example



$Q:$   $s$

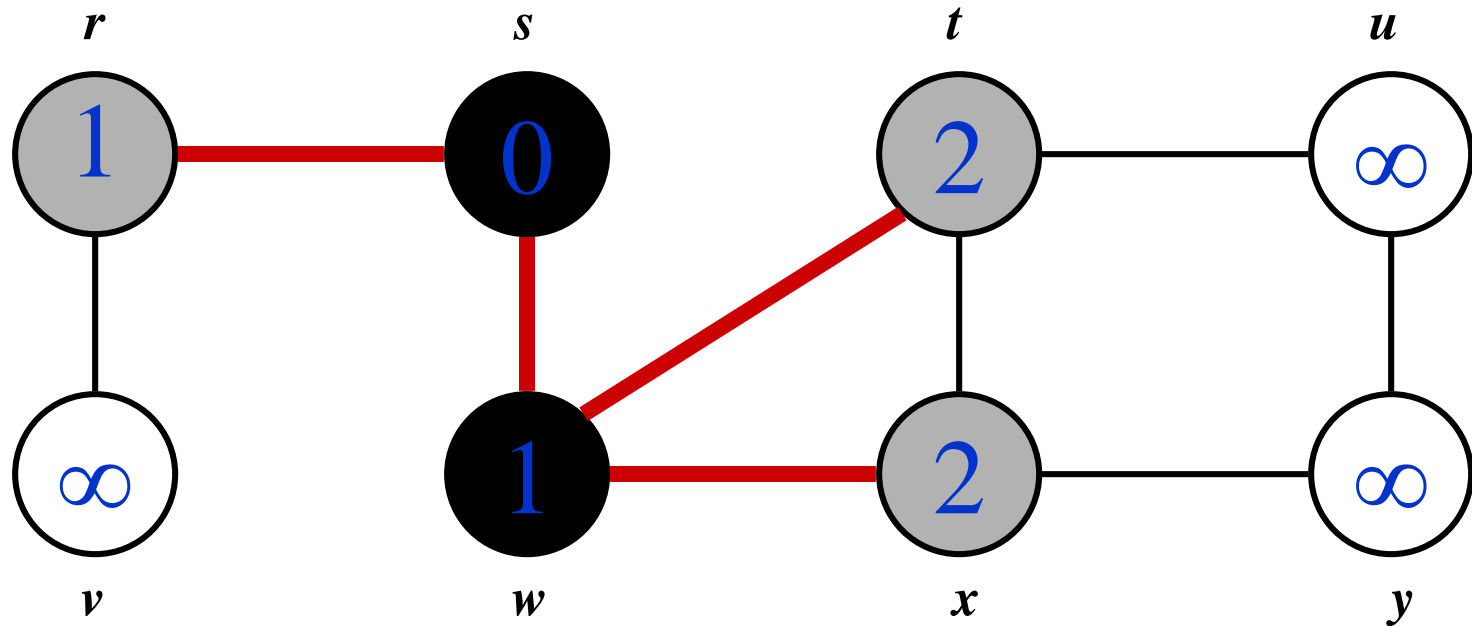
# Breadth-First Search: Example



$Q:$ 

$w$	$r$
-----	-----

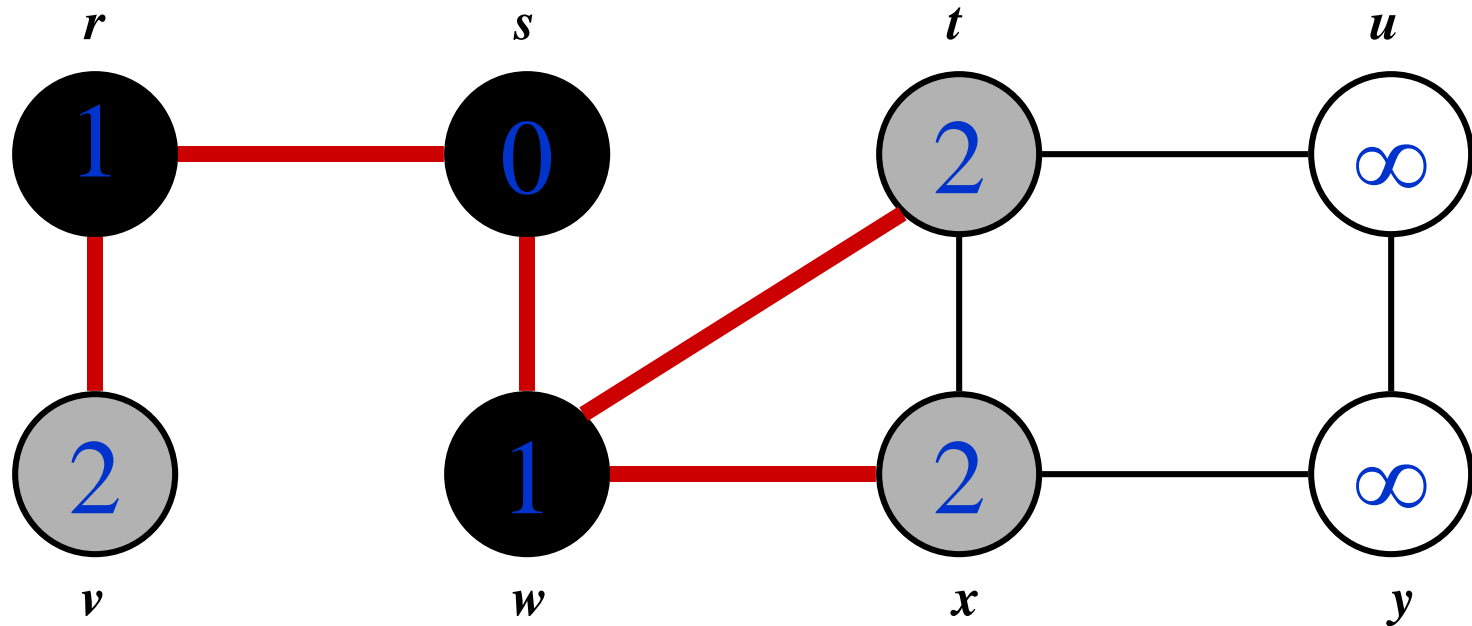
# Breadth-First Search: Example



$Q$ : 

$r$	$t$	$x$
-----	-----	-----

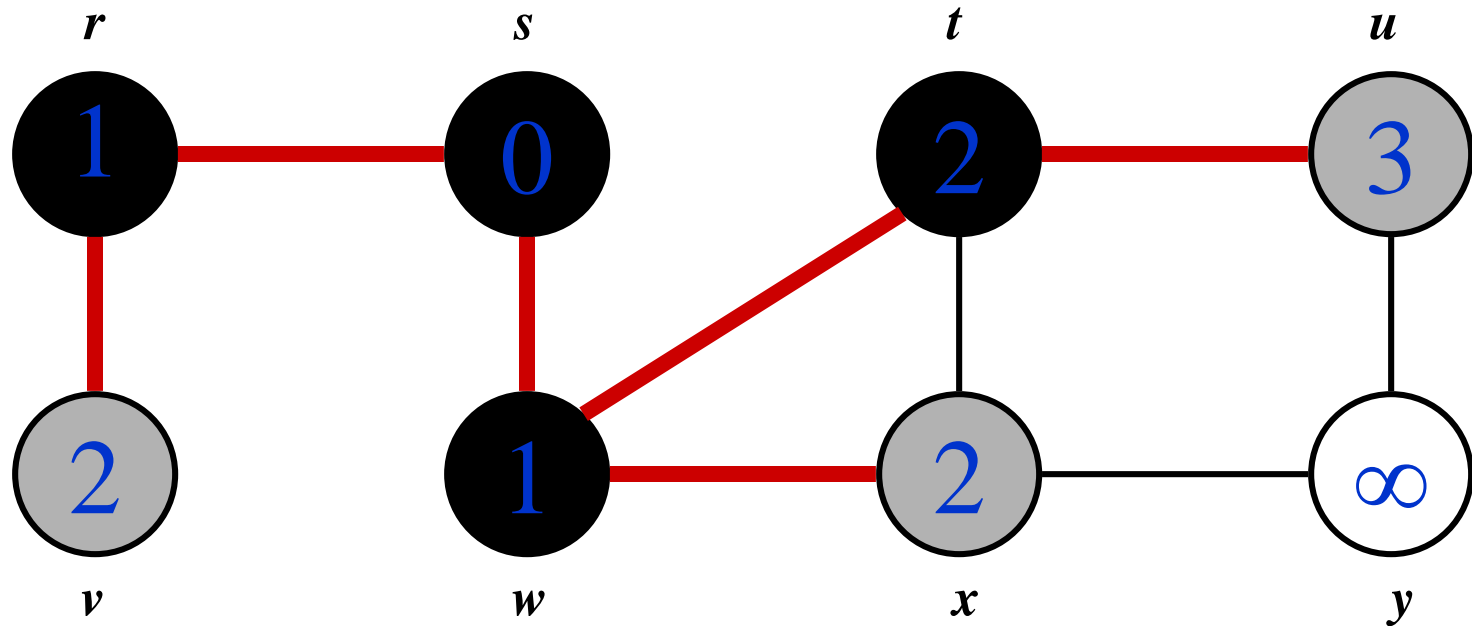
# Breadth-First Search: Example



$Q:$ 

$t$	$x$	$v$
-----	-----	-----

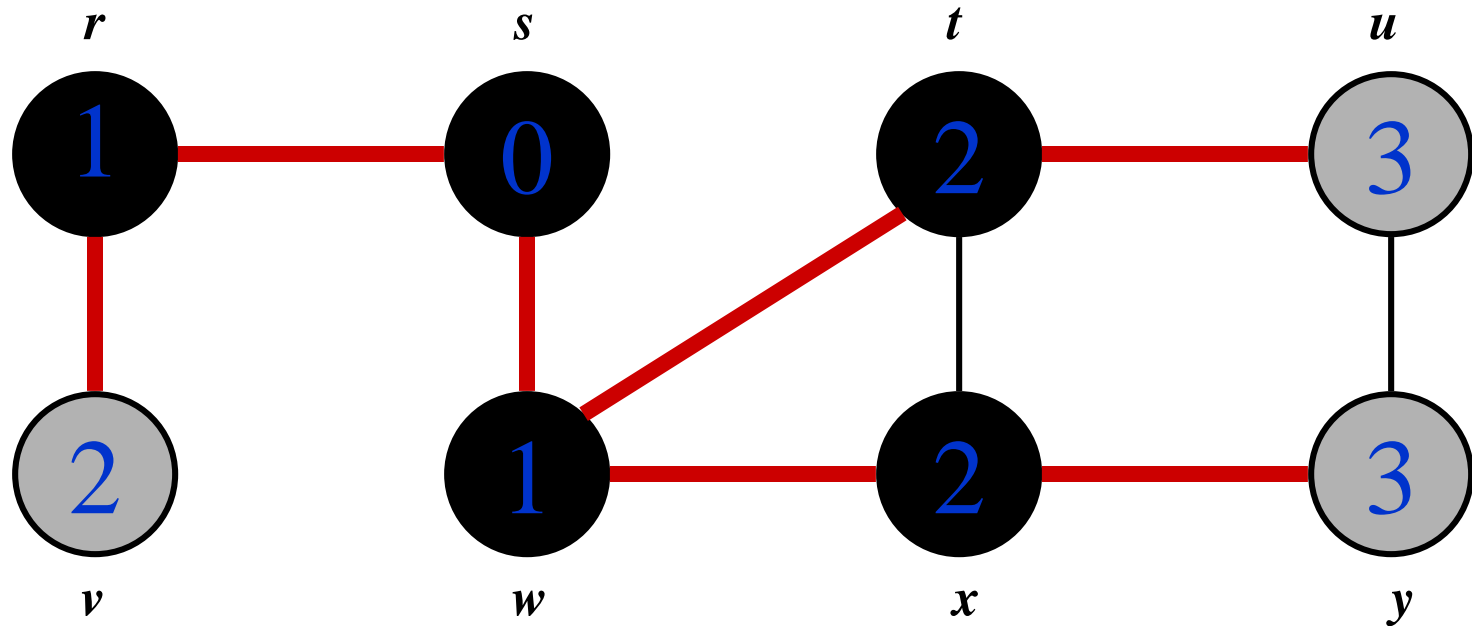
# Breadth-First Search: Example



$Q$ : 

$x$	$v$	$u$
-----	-----	-----

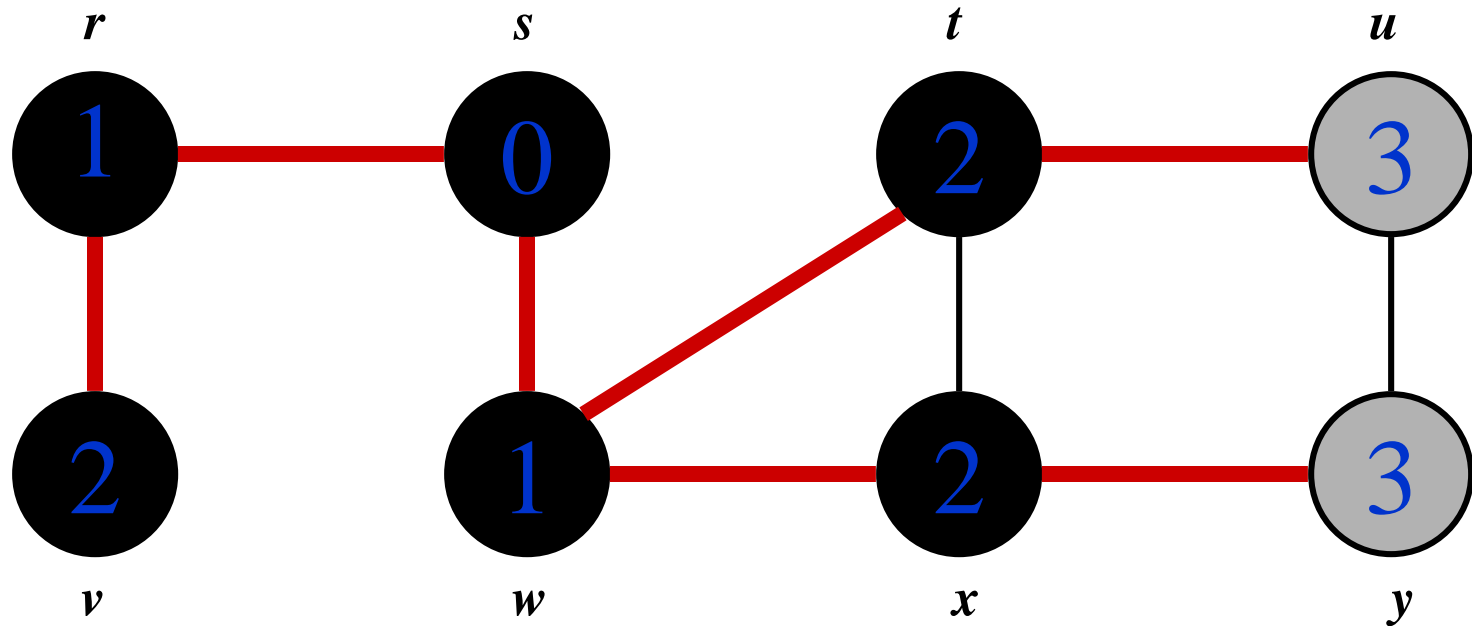
# Breadth-First Search: Example



$Q$ : 

$v$	$u$	$y$
-----	-----	-----

# Breadth-First Search: Example

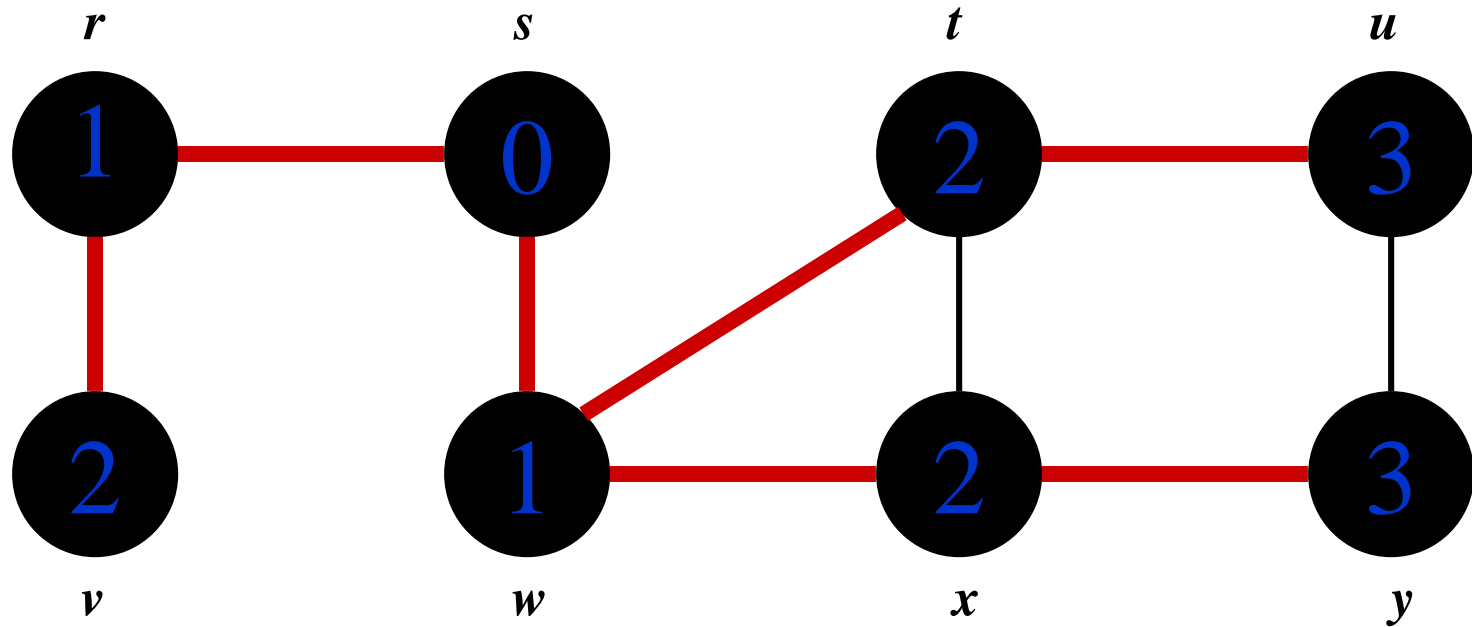


$Q$ : 

$u$	$y$
-----	-----



# Breadth-First Search: Example



$Q: \emptyset$

# BFS: The Code Again

```
BFS(G, s) {  
    initialize vertices; ← Touch every vertex: O(V)  
    Q = {s};  
    while (Q not empty) {  
        u = RemoveTop(Q); ← u = every vertex, but only once  
        for each v ∈ u->adj { (Why?)  
            if (v->color == WHITE)  
                v->color = GREY;  
                v->d = u->d + 1;  
                v->p = u;  
                Enqueue(Q, v);  
        }  
        u->color = BLACK;  
    }  
}
```

*So v = every vertex that appears in some other vert's adjacency list*

*What will be the running time?*  
**Total running time:  $O(V+E)$**